

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER

BCR-012.1P US

see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED August 1, 2003 PCT/US2004/024789 August 2, 2004 TITLE OF INVENTION OPTICAL RESONANCE ANALYSIS UNIT APPLICANT(S) FOR DO/EO/US David H. TRACY, Jennifer M. BROCKMAN, Keith S. FERRARA, Martin SHENKER, Robert KERSTEN, Steven E. COHEN, Gary BODLEY, Patrick TUXBURY, Paul HÉTHERINGTON, Enrico PICOZZ Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). | x | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). × Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. **X** An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🗀 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. X A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. 🗶 A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. pct/US2004/024789				ATTORNEY'S DOCKET NUMBER BCR-012.1P US			
20. Other items or information: Copy of PCT Notification of the Recording of a Change, Form PCT/IB/306							
The folio	owing fees have be	een submitted	····		CALCULATIONS	PTO USE ONLY	
21. 🗶 Basic national fee (37 CFR 1.492(a))					\$ 300.00	_	
22. 🗶 Exam	ination fee (37 CF						
by IPĖA/I	ion prepared by IS JS indicates all class	\$ 200.00					
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 100.00		
	TOTAL OF 21, 22	600.00					
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE							
48 100 -	0	thereof (round 0	up to a whole number) x \$250		0.00 \$		
Surcharge of \$13	0 /50 = 0.00 for furnishing commencement of	\$					
CLAIMS NUMB		ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	claims 26 - 20 =		6	x \$50	\$ 300.00		
Independent claims 1 - 3 =		0	× \$200	\$ 0.00			
MULTIPLE DEPE	ENDENT CLAIM(S	\$ 0.00					
		\$ 900.00					
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. SUBTOTAL = \$ 900.00							
		\$ 900.00	L				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							
		\$ 900.00					
Fee for recording by an appropriate	the enclosed assistance cover sheet (37 (\$					
TOTAL FEES ENCLOSED = \$ 900.00							
					Amount to be refunded:	\$	
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a. A check in the amount of \$ 900.00 to cover the above	fees is enclosed. (Check no.: 6800)					
Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
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Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Loca B. Verlevich For	SIGNATURE					
Leon R. Yankwich, Esq.	David G.O'Brien					
W	NAME					
Yankwich & Associates, P.C.	46,125					
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Cambridge, MA 02139						
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PATENT COOPERATION TREATY IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

Application of:

Tracy et al.

Serial No.:

(not yet assigned)

Art Unit: not yet assigned

Filed:

(concurrently herewith)

Examiner: not yet assigned

Entitled:

OPTICAL RESONANCE ANALYSIS UNIT

U.S. national stage of Int'l Appln. PCT/US2004/0024789, filed August 2, 2004, designating the US Attorney Docket No.: BCR-012.1P US

Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NATIONAL STAGE APPLICATION TRANSMITTAL:

- 1. Designated/Elected Office (DO/EO/US) Transmittal Form PTO-1390 (3 pages);
- 2. Duplicate copy Transmittal Form PTO-1390 page 2 fee calculation/authorization;
- 3. A second copy of International Application as published under 35 U.S.C. 154(d)(4) (48 pages);
- 4. Executed Associate Power of Attorney (1 sheet);
- 5. Copy of executed PCT Declaration of Inventorship (3 pages);
- 6. Copy of PCT Notification of the Recording of a Change, Form PCT/IB/306 (1 sheet);
- 7. Check No. 6800 in the amount of \$ 900.00 to cover the national application filing fees; and
- 8. Return Receipt Postcard.

Respectfully submitted

Leon R. Yankwich, Reg. No. 30,237 David G. O'Brien, Reg. No. 461,25

Attorneys for Applicant

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

The undersigned hereby certifies that this correspondence and accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10, postage prepaid, Express Mailing Label No. EV 509622615 US, in an envelope addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

January 27, 2006

Date

Nasim G. Memon